

**WORKMAN
NYDEGGER
&
SEELEY**
ATTORNEYS AT LAW
A PROFESSIONAL CORPORATION
1950 EAGLE GATE TOWER
100 EAST SOUTH TEMPLE
SALT LAKE CITY, UTAH 84111
TELEPHONE (801) 533-9800
FACSIMILE (801) 328-1707

O I P E J
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PATENT & TRADEMARK

RICK D. NYDEGGER
DAVID O. SEELEY
BRENT P. LORIMER
THOMAS R. VUKSINICK
LARRY R. LAYCOCK
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R. BURNS ISRAELSEN
DAVID R. TODD
L. DAVID GRIFFIN

JESÚS JUANÓS I TIMONEDA, Ph.D.
ADRIAN J. LEE
FRASER D. ROY
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R. PARRISH FREEMAN, JR.
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WILLIAM R. RICHTER
TRENT H. BAKER
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TIGE KELLER

† ADMITTED ONLY IN CALIFORNIA

PATENT APPLICATION
Docket No: 13914.692

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HOME PAGE: <http://www.wnspatent.com>
GENERAL E-MAIL: info@wnspatent.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Ryan L. Stone

Serial No.: 09/941,167

Confirmation No.: 4657

Filed: August 28, 2001

For: REORIENTABLE PULLY SYSTEM

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SEP 30 2002

TECHNOLOGY CENTER R3700

Art Unit
3723

TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

- ____ Statement of relevance of selected cited references not in the English language which are not translated.
- ____ Statement that selected cited references are substantially cumulative of an enclosed or previously submitted reference.
- ____ Statement that selected cited references were previously cited by or submitted to the United States Patent and Trademark Office in a prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

A. Additional Materials Required Due to Content of Information Disclosure Statement

Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

- ☒ Form PTO-1449 listing THIRTEEN (13) references submitted for consideration.
- ☒ Copies of THIRTEEN (13) of the references listed on the Form PTO-1449.
- ☐ English translations of N/A of the references listed on the Form PTO-1449 which are not in the English language.
- ☐ Copies of the following documents from the prosecution of a previous, related application:
 - ☐ Form PTO-1449 AND INFORMATION DISCLOSURE STATEMENT; and
 - ☐ Form PTO-892

B. Additional Materials Required Due to Timing of Filing of Information Disclosure Statement

The transmitted Information Disclosure Statement is being filed within one (1) of the following four (4) time periods:

- I. ☒ Prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no materials other than those listed above are enclosed.
- II. ☐ Following the latter of either three (3) months following the filing date or the mailing of a first Office Action, but before the mailing of a final Office Action or a Notice of Allowance. Accordingly, to secure consideration thereof, one (1) of the following is also enclosed:
 - ☐ Promptness Certification; or
 - ☐ Check No. _____ in the amount of \$180.00 constituting the submission fee set forth in 37 C.F.R. § 1.17(p).
- III. ☐ After the mailing of a Notice of Allowance, but before payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:
 - ☐ Promptness Certificate;
 - ☐ Petition for Consideration; and
 - ☐ Check No. _____ in the amount of \$_____ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

IV. _____ After payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:

_____ Petition to Withdraw from Issue; and

_____ Check No. _____ in the amount of \$ _____ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).

C. Fees

The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 23-3178. A duplicate copy of this letter is enclosed.

X Any fee required in relation to filing of this letter or any documents transmitted therewith.

_____ The submission fee set forth in 37 C.F.R. § 1.17(p) in the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that any Promptness Certificate submitted meets the requirements of 37 C.F.R. § 1.97(e).

_____ The submission fee set forth in 37 C.F.R. § 1.17(p).

_____ The petition fee set forth in 37 C.F.R. § 1.17(i)(1).

Dated this 19th day of September 2002.

Respectfully submitted,



David B. Dellenbach
Attorney for Applicant
Registration No. 39,166



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PATENT TRADEMARK OFFICE

DBD:jac

Enclosures

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PATENT APPLICATION
Docket No: 13914.692

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Ryan L. Stone

Serial No.: 09/941,167

Filed: August 28, 2001

For: REORIENTABLE PULLEY SYSTEM

Confirmation No.: 4657

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SEP 30 2002
Art Unit
TECHNOLOGY CENTER
SEP 23 2002

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of

which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

DATED this 19th of September, 2002.

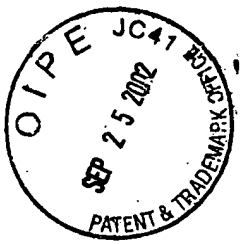
Respectfully submitted,

A handwritten signature in black ink, appearing to read 'D.B. Dellenbach', followed by a horizontal line extending to the right.

David B. Dellenbach
Attorney for Applicant
Registration No. 39,166

WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800
Facsimile: (801) 328-1707

DBD: jac
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3723

PATENT APPLICATION

Docket: 13914.692

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

Ryan L. Stone

Serial No.: 09/941,167

Confirmation No.: 4657

Filed: August 28, 2001

For: REORIENTABLE PULLEY SYSTEM

SEP 30 2002

TECHNOLOGY CENTER R3700

Art Unit


3723

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that the following documents are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on this 19th day of September, 2002:

- Transmittal for Supplemental Information Disclosure Statement (3 pgs.) (In Dup.)
- Supplemental Information Disclosure Statement (2 pgs.)
- Form PTO-1449 Citing THIRTEEN references (2 pgs.)
- Legible Copies of THIRTEEN (13) cited references
- Postcard

Respectfully submitted,


JoAnn Cook, Assistant to
David B. Dellenbach
Attorney for Applicant
Registration No. 39,166

WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800
Facsimile: (801) 328-1707

DBD:jac

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Applicant:

Ryan L. Stone

Serial No.:

09/941,167

Filing Date:

August 28, 2001

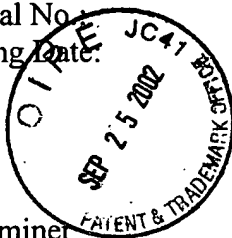
For:

REORIENTABLE PULLEY SYSTEM

Att'y Docket No.: 13914.692

Group: 3723

Examiner

Initial*

Other Documents
(including author, title, pertinent pages, etc.)

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SEP 30 2002

TECHNOLOGY CENTER R3700

____ 13 Catalog of Gymnastic Apparatus, *Narragansett Machine Company*, Copyright 1925, p. 23.

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.